



PATENT

Case Docket No. ALAMM.002A  
Date: October 17, 20032131  
#6  
SP  
10-28-03

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Michael T. Aarons  
Appl. No. : 09/921,733  
Filed : August 3, 2001  
For : METHOD AND APPARATUS  
FOR SECURE  
IDENTIFICATION FOR  
NETWORKED  
ENVIRONMENTS  
Examiner : Unknown  
Group Art Unit : 2131

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) Trademark Office, P.O. Box 2327,  
) Arlington, VA 22202, on

October 17, 2003

(Date)

Arthur S. Rose, Reg. No. 28,038

## TRANSMITTAL LETTER

United States Patent and Trademark Office  
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Arlington, VA 22213-1450

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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Supplemental Information Disclosure Statement.
- (X) A PTO Form 1449 with one (1) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) A return prepaid postcard.

Arthur S. Rose  
Registration No. 28,038  
Attorney of Record  
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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing one reference that is also enclosed.

This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months days/months prior to the filing of this Information Disclosure Statement.

Pursuant to 37 C.F.R. § 1.97(g) and (h), Applicant makes no representation that a search has been made or that the information is considered to be material to patentability. Additionally, inclusion on this list is not an admission that any of the cited documents are prior art in this

**Appl. No.** : 09/921,733  
**Filed** : August 3, 2001

application. Further, Applicant makes no representation regarding the completeness of this list, nor represents that better art does not exist.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 10/17/01

By: 

Arthur S. Rose  
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
ALAMM.002AAPPLICATION NO.  
09/921,733INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT  
Michael T. AaronsFILING DATE  
August 3, 2001GROUP  
2131

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1						
	2						
	3						
	4						
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## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	11							
	12							
	13							
	14							
	15							
	16							

EXAMINER INITIAL	OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)	
	17	PCT International Preliminary Examination Report International Application No. PCT/US01/41573 mailed July 18, 2003.
	18	
	19	
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EXAMINER	DATE CONSIDERED
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